

Evan Bauer

Evan Bauer Information Technology / Fanzilli - Bauer Technology Partners (2002 - present)

Evan Bauer Information Technology is an independent consulting firm focusing on technology strategy as well as enterprise and systems architecture. Projects include infrastructure design, pre-investment due diligence, product development, product introduction services, multi-party development, system testing, as well as market requirements analysis. eBIT Clients include Allegis Capital, Blackstone Group, Citigroup Venture Capital, Francisco Partners, FTV Capital, Fujitsu, HP, Partech International, Pequot Capital, Platform Computing, Principia Partners, StarVest, Union Square Advisors, and Sumitomo Trust Bank. All projects were led or supervised by Evan, many include the services of other senior consultants averaging more than 25 years of professional experience each. As part of this practice, Mr. Bauer serves as CTO of the Collaborative Software Initiative and CIO of Spencer Trask Collaborative Innovations. Major engagements include:

- **Collaborative Software Initiative (2007 - present): Chief Technology Officer.** Co-founded CSI, the first company focused on the creation and support of new community developed software projects meeting the needs of vertical industries. Responsible for creating the new project and development methodologies for this spin-out of the Open Source Development Lab. First projects for BITS Shared Assessments (financial services compliance) and TriSano (public health communicable disease surveillance), and Open Market Data (low-latency market data distribution) all utilize CSI's new methodology blending open source practices, agile methodology, and test-driven development practices. Member organizations of CSI core team projects and users of its software include DTCC, IBM, Novell, Intel, State of Utah, Centers for Disease Control, Credit Suisse, and Merrill Lynch/BofA. CSI revenues grew to 7-figures within two years; all software products delivered on-time and on budget.
- **Spencer Trask Collaborative Innovations (2009 - present): Chief Information Officer.** CSI partnered with STCI in 2009 and responsibilities expanded to include development, delivery, and support of Open Innovation Portal software-as-a-Service products (inno360 and VenCorps) to customers including General Mills, Procter & Gamble, USAF Research Lab (Wright Brothers Institute), the US Department of Education, and the White House Office of the CTO. Stabilized and re-implemented products, resulting in 7-figure renewals and new software sales. Partnered with IBM in development of secure cloud hosting for innovation.ed.gov and released the first ISV SaaS application to production on the IBM Cloud.
- **Sona Mobile Inc. (2005 - 2006):** Contracted as Managing Director, Product and Technology Operations responsible for product management, technology delivery, customer service, and platform strategy. Reduced operations costs 38% in nine months. Created product, support, and QA processes, and developed new channel product lines. Customers and partners included NYSE, Alcatel, SugarCRM, HSBC, Citigroup, SMI, Cingular, BMC, RIM, and Vodafone.
- **Robert Frances Group (2002 - 2005):** Principal Research Fellow – contracted to provide syndicated research on system software, IT management, and technology strategy. Published whitepapers on open source technology and economics. Delivered strategy consulting projects for: HSBC, Dow Jones, NSTAR, United Technologies, IBM, HP, Pfizer, and Novell.

Credit Suisse First Boston 1999 - 2002

Chief Technology Officer, Global Infrastructure

Senior technical architect for a 4,000 person, \$1.7BB/year IT department. Adviser to both CIO and investment bankers on IT products and companies. Focused on improving security and availability of systems as well as reducing costs through the use of Linux and open source technologies.

- Coordinated the rationalization of CSFB's global systems and networks, integrating the DLJ acquisition and architect for key components of a new data and processing architecture.
- Lead due diligence and advisory support for CSFB's Technology-related businesses from Investment Banking to Venture Capital. Deals supported secured \$20m in revenue during 2001 and 2002.
- Led the adoption of Linux and other open source software deploying both commodity and enterprise-class hardware. ROI on initial projects within 3 months, 78% reduction in hardware costs provided first year savings of \$5MM on the first 3 projects. Platform developed is now the standard at CS.
- Designed and led implementation of a new high-availability architecture for application and database

- servers. Platform had zero minutes of end-user down-time in its first 22 months of deployment.
- Implemented a CEO-level program to leverage CSFB's IT resources in support of banking business in Technology and Telecommunications.

Director, Global Information Technology/Global Web Services

- Led the design and implementation of CSFB's global web hosting capability from firewalls through web application deployment. Built a four-continent team of 60 professionals providing platform engineering and 24x7 follow-the-sun support for 80 e-commerce applications and more than 1,000 intranet sites and applications. Public web availability improved from 97% in May 1999 to 99.9% in May 2000.
- Led re-architecture of Global Loans system that enabled the Syndicated Finance Group to increase transactions by 100% and advance 2 positions in the league tables.
- Designed and implemented the deployment of a global general ledger with PeopleSoft on Sybase.

Bankers Trust Company 1997 - 1999 (also 1994-1996)

Principal Technology Architect, Global Operations Technology (GOT)

- Led the team responsible for both technical architecture and infrastructure support for all Cash Trading (MM/FX) and derivatives businesses as well as the back office systems for all securities trading.
- Led implementation of London-based packages for securities lending and securities trading.
- Managed rollout of GOT Y2K and EMU upgrades in NY and London. By accelerating Y2K remediation and integrating project with EMU, saved more than \$1.25m in labor costs.
- Designed and managed division-wide system availability project that reduced service-disrupting outages by over 82% in 1998, resulting in achievement of 99.97% scheduled uptime.

Giga Information Group 1996 - 1997

Vice President and Senior Research Advisor

- Published on database, OLTP, and web technology as well as enterprise technology strategy.
- President's Club Award, Top Research Analyst, 1996; Research Achievement Awards, Q1-2 1997.

Bankers Trust Company 1994 - 1996

Vice President, Client/Server Engineering

- Database architect for Global Reference Database project, including customer master consolidation.
- Designed and implemented infrastructure for FXPCA -- industry leading FX processing platform.
- Led engineering and rollout of standard UNIX and OpenVMS database platforms for OLTP and BI.

Digital Equipment Corporation 1986 - 1994

Principal Consultant, Database Systems Engineering, 1992 - 1994

Senior Consultant, NY District / Database Systems Technical Support Group 1986 - 1992

- Technical lead on customer projects that produced revenues of over \$120,000,000. Troubleshooter for major integration projects. Design focus on database administration. Project manager for both the VLDB Performance Testing and the "Lights-Out Administration" Advanced Development projects.
- Major projects sold and delivered to: Bankers Trust, Bank of NY, Con Edison, Fleet Bank, Dun & Bradstreet, JPMC, ITT, Bell Atlantic, General Electric, NBA, HBO, Pfizer, Monsanto, and NYC.

SEED Software / ManTech / United Telecom / CDC / International Database Systems 1979 - 1984

VP, Operations / Midwest Regional Manager / Field Engineer / Member of the Technical Staff

SEED was the first portable, real-time, database management system. Applications included real-time flight test data acquisition and analysis for the US Navy, mission data preparation for the US Air Force, weather forecasting for the National Center for Atmospheric Research, and derivative portfolio management and pricing for Bankers Trust.

First Pennsylvania Bank, Public Financial Management 1978 - 1979

Research Analyst and Programmer

Developed municipal bond pricing and couponing systems; co-authored study on credit worthiness of major public power issuers.

United States Senate Select Committee on Small Business, 1977

Legislative Assistant / Intern for the Subcommittee on Monopoly Practices

Publications Boards and Community Service

Author of over 100 articles, whitepapers, and research notes.

Ten-year Volunteer and Member of the Board of Directors, Habitat for Humanity NYC.

Serve on the Advisory Boards of Allegis Capital, LiveLook, Baynote, and La Guardia College (CUNY).

Alumnus of Wesleyan University and the University of Pennsylvania.

Amateur radio operator (KC2YAY), emergency communications volunteer, Kings County Radio Club